

Business Continuity Planning

For Investcorp, Business Continuity Planning is an enterprise risk management requirement and it maintains a detailed Business Continuity Plan (“BCP”) for each of its offices.

The objectives of Investcorp’s Business Continuity Planning are to ensure the safety of all employees and to resume business as usual operations in any office affected by an unplanned event as soon as practicable.

Systems and facilities are implemented and maintained under a shared services model in a remote data center that is accessible to all offices. This model enables Investcorp to approach Business Continuity Planning in a more dynamic manner permitting it to continue to operate its business without significant interruption should an unplanned event occur in any of Investcorp’s offices.

All Information Technology (“IT”) systems/data are replicated at regular intervals to the remote data center, limiting the potential for the loss of data as the result of an unplanned event. Access to the IT systems is available to any Investcorp employee who has a computer and internet connectivity, thus permitting employees to continue working during an unplanned event regardless of their location. Consequently, an employee can continue working even if his or her office is unavailable due to the occurrence of an unplanned event. In addition, Investcorp has access to alternative locations in which some of its employees can work if an event occurs.

Events are ranked against different levels of severity and risk in each BCP, with a specification of the resulting required action.

Each office has its own team with allocated responsibilities should an unplanned event occur.

Each department has identified the IT applications that are most critical to its operations and recovery time objectives have been accordingly established on a prioritized basis, although factors beyond Investcorp’s control may make it difficult to achieve these objectives.

Each office’s BCP is subject to review by Investcorp Internal Audit Department on an annual basis.